

**Halton District School Board
COMMITTEE OF THE WHOLE -- PUBLIC SESSION
JW Singleton Education Centre (Board Room), Burlington
April 27, 2016 -- 6 p.m.**

REVISED

MEETING AGENDA

1.0 Opening [5 mins]

Welcome, Call to Order and Roll Call

Approval of the Agenda

Approval of the Minutes

Order Paper

2.0 Discussion Items*

2.1 2016-17 Budget (*L. Veerman*)

presentation

2.2 Code of Ethics Policy (*D. McFadden, L. Veerman*)

attached

3.0 Order Paper

4.0 Sub-Committee Reports

5.0 Future Agenda Items

6.0 Trustee Questions and Comments

7.0 Questions from the Public

8.0 Adjournment

Motion to Adjourn

* *Questions from the Public will be welcome during each Discussion Item as time permits*



Halton District School Board

Wednesday, April 27, 2016

(Items shaded and/or marked in bold have been completed, or approved by the Board, and will be deleted from the list prior to the next edition.)

ORDER PAPER

Meeting Date	Motion	Responsibility for Action
Feb.10/16	<i>Whereas policy issues regarding the raising of funds are separate and distinct from policy matters related to facilities enhancements. Be it resolved that the sub committee assigned to develop the "Community Funding of Facilities Enhancements Policy" bring back to PB&G a "Facilities Enhancements Policy" and that a new sub-committee be formed to develop a "Fundraising Policy".</i>	PB&G



Adopted	<i>(NEW) M16-xxxx (date)</i>
Review Date	<i>Annually, April</i>

CODE OF ETHICS

INTENDED PURPOSE:

Employees of the Halton District School Board occupy positions of public trust and confidence. They are expected to discharge their duties and responsibilities with integrity in a professional and impartial manner.

PRINCIPLES:

It is imperative that employees of the Board be, and be seen to be acting with honesty, care and due-diligence, in the best interests of the public they serve. Respect must be demonstrated for each other and for the environment. Without limiting the generality of the foregoing, employees would compromise themselves in the discharge of their duties by being in or being perceived to be in a conflict of interest, by contravening any law, by disclosing confidential business, personnel or student information and/or by misappropriating Board resources.

Any employee of the Board who contravenes the Code of Ethics and /or the accompanying Administrative Procedure may be subject to disciplinary action up to and including termination, where appropriate.

Topic:	Code of Ethics
Effective:	January 1, 2014
Cross-Reference:	Board Policies <i>Trustee Code of Conduct</i> <i>Mileage and Expenses</i> Administrative Procedures: <i>BPS Procurement Directive / Purchasing</i> <i>Mileage and Expenses</i> <i>Hospitality</i> <i>Donations</i> <i>Acceptable Use: Information and Communication Technology</i>
Review/Revision Date:	September 2018
Responsibility:	Executive Officer of Human Resources

CONTENT:

- I. DEFINITIONS
- II. CONFLICT OF INTEREST
- III. BOARD RESOURCES
- IV. ONTARIO BROADER PUBLIC SECTOR (BPS) SUPPLY CHAIN CODE OF ETHICS
- V. CONFIDENTIALITY
- VI. COMPLYING WITH THE LAW
- VII. REGULATIONS

I. DEFINITIONS

For the purpose of this Administrative Procedure, the following Definitions apply:

"**bias**" means and includes a predisposition to decide a matter in a certain way and/or a predisposition which may not permit an objective assessment of a matter.

"**Broader Public Sector Procurement Directive**" means the Broader Public Sector Procurement Directive issued by the Management Board of Cabinet, effective July 1, 2011.

"**economic, financial or commercial advantage**" means the receipt, or expectation of receipt, of anything of value by either an employee of the Board, or a relative, friend and/or business associate of the employee resulting from a personal relationship.

"**favour**" means any act on the part of a Supplier for the benefit of an employee or a relative, friend and/or business associate of the employee which may be perceived as having been made with a view to influencing process.

"**mitigating action**" means and includes an action to avoid, minimize, reduce, eliminate or rectify a conflict of interest.

"**preferential treatment**" includes unfairly affording opportunities to one or more Suppliers at the expense of all other Suppliers that are eligible to participate in the opportunities.

"**Supplier**" includes any person or organization that, based on an assessment of that person's or organization's financial, technical and commercial capacity, is capable of fulfilling the requirements of the Board's procurement of goods and/or services.

"**Supply Chain Activities**" means and includes all activities directly or indirectly related to the Board's planning, sourcing, procurement, moving, and payment processes. Budgeting, planning and requesting, as well as the approval and processing of the acquisition for goods and services are all Supply Chain Activities. Many employee groups within the board are involved with one or more of these processes.

II. CONFLICT OF INTEREST

"**Conflict of interest**" means and includes a situation in which financial or other personal considerations have the potential to compromise or bias an employee's professional judgment and objectivity. An apparent conflict of interest is one in which a reasonable person would think that the employee's judgment is likely to be compromised. A conflict of interest may exist when the decisions and/or actions of employees during the course of exercising their employment duties are affected by or perceived by another party or person to be affected by or has been determined by the Board to be affected by the employee's personal, financial or business interests or the personal, financial or business interests of a relative, friend and/or business associate of the employee.

Expectations

1. Every employee is responsible and accountable for exercising good judgment and avoiding situations that might present a conflict of interest or be perceived as a conflict of interest.
2. Employees shall not use their position, authority or influence for personal, financial or material gain or personal business purposes or for the personal, financial or material gain or business purposes of a relative, friend and/or business associate. Every employee shall uphold and enhance all Board business operations by:
 - a) Maintaining the highest standard of integrity in all business relationships, both inside and outside the Board;
 - b) Carrying out all activities, in particular contracting and purchasing activities in a fair, transparent manner with a view to obtaining the best value for the Board's money and ensuring that resources are used in a responsible, efficient and effective manner;
 - c) Fostering the highest standard of professional competence amongst those for whom they are responsible, and for themselves, striving always to improve procedures, knowledge levels and skill and sharing leading practices;
 - d) Complying with and being seen to be complying with the letter and spirit of:
 - i. The laws of Canada and the Province of Ontario; and
 - ii. Contractual obligations applicable to the individual and the Board; and
 - e) Rejecting and denouncing any business practice that is improper or inappropriate or may appear to be improper or inappropriate.
3. An employee must not engage or participate in any outside employment, business or commercial undertaking (paid or volunteer) that interferes with the performance of his or her duties as an employee of the Board, or from which an economic, financial or commercial advantage may be derived by the employee, a relative, friend and/or business associate of the employee as a result of confidential business, financial or commercial information gained by the employee from employment with the Board.
4. An employee shall not use his or her position, authority or influence to give any person or organization special treatment that might, or might be perceived to advance the interests of the employee, or the interests of a relative, friend and/or business associate of the employee. This includes providing preferential treatment or publicly endorsing suppliers or products.
5. An employee must not participate in any decision or recommendation in which he or she, or a relative, friend or business associate may have a financial, commercial or business interest.
6. Subject to the approval by the appropriate representative of the Board, Board employees shall not have a pecuniary interest, either directly or indirectly, which influences the awarding of any contract with the Board or with any person acting for the Board in any contract for the supply of goods and/or services for which the Board pays or is liable, directly or indirectly
7. All employees are required to comply with the *Education Act*, Section 217.

III. BOARD RESOURCES

1. No employee shall use Board resources for personal gain. No employee shall permit relatives, friends and/or business associates to use Board resources for personal gain.
2. All employees shall abide by Board Policies and Administrative Procedures regarding the use of Board resources including information technology resources.
3. All employees acknowledge that works of authorship commissioned, assigned, or paid for by the Board, which may include, but are not limited to books, articles, pamphlets, course materials, inventions, improvements, discoveries, computer software, and documentation, created by the employee on Board time, in the course of their employment, are the sole property of the Board. Employees who have created works of authorship in the course of their employment shall have no propriety or moral rights to their creation or invention.

IV. ONTARIO BROADER PUBLIC SECTOR (BPS) SUPPLY CHAIN CODE OF ETHICS

This Code of Ethics does not supersede the Board's Code of Ethics, above, but supplements the Code of Ethics with standards of practice specific to the supply chain.

Goal: To ensure an ethical, professional and accountable BPS supply chain.

1. Personal Integrity and Professionalism

Employees involved with Supply Chain Activities must act, and be seen to act, with integrity and professionalism. Honesty, care and due diligence must be integral to all Supply Chain Activities within and between the Board, suppliers and other stakeholders. Respect must be demonstrated for each other and for the environment. Confidential information must be safeguarded. Employees must not engage in any activity that may create, or appear to create, a conflict of interest, such as accepting gifts or favours, providing preferential treatment, or publicly endorsing suppliers or products.

2. Accountability and Transparency

Supply Chain Activities must be open and accountable. In particular, contracting and purchasing activities must be fair, transparent and conducted with a view to obtaining the best value for public money. All employees must ensure that Board resources are used in a responsible, efficient and effective manner.

3. Compliance and Continuous Improvement

Employees involved with purchasing or other Supply Chain Activities must comply with this Code of Ethics and the laws of Canada and Ontario. Employees should continuously work to improve supply chain policies and procedures, to improve their supply chain knowledge and skill levels, and to share leading practices.

Declaration of Conflict of Interest

Any conflict of interest or potential conflict of interest, either real or perceived, related to Supply Chain Activities must be declared in writing to the Manager of Purchasing using the form attached at Appendix "A", "Conflict of Interest – Purchasing Process".

V. CONFIDENTIALITY

1. All employees acknowledge that, as part of their duties to the Board, they may have access to private and confidential financial, business and/or commercial information belonging to the Board that may provide a financial, business, commercial or competitive advantage, and that they may have access to private and confidential student and personnel information.
2. Except as may be required by the law, or otherwise by a competent authority, all employees and former employees agree not to use, directly or indirectly, for the employee's benefit or for the benefit of any person, organization, firm, or other entity, the Board's proprietary or confidential information disclosed or entrusted to that employee or developed or generated by the employee during the performance of his or her duties for the Board. Such information may include, but is not limited to, information relating to the Board's organizational structure, operations, business plans, technical projects, business costs, research data results, inventions, trade secrets or other work produced, developed by or for the Board.

3. Except as may be required by law, or otherwise by a competent authority, and in accordance with the Education Act and Municipal Freedom of Information and Protection of Privacy Act, all employees agree not to use or disclose the personal and/or educational information of students and their families in the Board's possession, or which may come to the attention of such employee during the course of his or her duties with the Board.
4. Except as may be required by law, or otherwise by a competent authority, and in accordance with the Municipal Freedom of Information and Protection of Privacy Act, all employees agree not to use or disclose the personal and/or employment information of Board employees and their families in the Board's possession or which may come to the attention of an employee during the course of his or her duties with the Board.

VI. COMPLYING WITH THE LAW

1. All employees will and will be seen to comply with the letter and spirit of all of the laws of Canada and the Province of Ontario and any contractual obligations resulting from the employees' duties, employment or employment duties and/or any contractual obligations of the Board.
2. When acting on behalf of the Board, no employee shall at any time take any action that he or she knows or reasonably should know violates any applicable law or regulation.
3. It is every employee's responsibility to ensure that all information he or she communicates in the course of his or her employment duties is accurate and complete. No employee shall withhold information or knowingly mislead members of the Board, officers, supervisors, parents/guardians, students or the public.
4. It is the responsibility of all employees to familiarize themselves with their duties and any requirements of them as prescribed by the Education Act, and Regulations, the Municipal Freedom of Information and Protection of Privacy Act and Regulations and any other Act or Regulation that may be applicable to the employee's employment duties, from time to time.
5. All employees are responsible for immediately reporting to an appropriate supervisor any suspected illegal behaviour or behaviour that contravenes this or any policy of the Board.

VII. REGULATIONS

In order to ensure compliance with the Board's Code of Ethics Policy, all employees of the Board shall follow the guidelines set out below.

Disclosure of Conflict of Interest

Any conflict of interest or potential conflict of interest situation that arises that may impinge or might reasonably be seen to impinge on an employee's impartiality or judgment in any matter relevant to his/her duties, shall be disclosed, as outlined below:

The Director of Education shall disclose a conflict of interest or potential conflict of interest to the Chair of the Board. The Associate Director shall disclose a conflict of interest or potential conflict of interest to the Director of Education. Superintendents shall disclose a conflict of interest or potential conflict of interest to their Associate Director or to whomever they report. All other employees shall disclose a conflict of interest or potential conflict of interest to their immediate Supervisor.

Any and all relevant facts concerning the situation must be disclosed.

Where a conflict or potential conflict is disclosed, it must be evaluated and an appropriate mitigating action must be taken.

In addition, conflicts of interest or potential conflicts of interest related to Supply Chain Activities shall be disclosed to the Manager of Purchasing and a written declaration must be made (see "Declaration of Conflict of Interest above at IV and Appendix A). The Board will review any conflict of interest that may arise, including conflicts that arise as a result of the involvement of an employee, advisor, external consultant or supplier with Supply Chain Activities.

Confidentiality and Accuracy of Business/Corporate Information

The confidentiality of business/corporate information received in the course of duties must be respected and shall not be used for personal gain. Information given in the course of fulfilling employment duties shall be accurate and fair and not designed to mislead. For example, it is considered unethical and damaging to the Board's reputation to allow vendor's proprietary/confidential information to pass to another vendor, potential vendor or any person with a financial interest in the information, whether potential or actual, direct or indirect.

The confidentiality of personal/educational student and family information received in the course of duties shall be respected, protected and kept confidential. Information received shall not be discussed or reviewed in public or where another employee, student, parent or member of the school community could accidentally overhear or read such information.

The confidentiality of personal/employment and family information about fellow Board employees, and/or Trustees, received in the course of duties shall be respected, protected and kept confidential. Information received shall not be discussed or reviewed in public or where another employee or member of the public could accidentally overhear or read such information.

Hospitality and Gifts from Businesses and Corporations

Employees who participate in decision-making during the procurement process shall not receive gifts, gratuities, or favours from suppliers at any time, including during any tendering process.

i. Offering or Accepting Gifts or Hospitality

Offering or accepting gifts, gratuities or favours could be mistaken for improper payment and could influence or appear to influence the making of business decisions.

Employees shall not use their position for improper gain, nor under any circumstances accepts gifts of cash, gift cards, bonds, securities, personal loans, airline tickets, use of a vacation property or costly entertainment.

ii. Conditions for Accepting Gifts or Hospitality

An employee may accept the provision of hospitality or a gift in the course of the professional relationship, if:

- the employee believes the donor is not trying to obligate them, or improperly influence a decision;
- it is "normal business practice" for the purposes of courtesy and good business relations; and,
- acceptance is legal and consistent with generally accepted ethical standards.

iii. Examples of Acceptable Gifts

Examples of acceptable gifts include:

- Holiday gifts, such as fruit baskets or candy; and
- Inexpensive advertising and promotional materials (e.g., giveaways, such as pens or key chains); and
- Inexpensive awards to recognize service and accomplishments in civic, charitable, educational organizations

iv. Gifts of Considerable Value (over \$200)

Where it would be extraordinarily impolite or otherwise inappropriate to refuse a gift of obvious value, the gift may be accepted on behalf of the Board. As noted under (vi) Reporting Gifts, employees must report the gift. Such gifts may not be taken for the employee's home use or enjoyment. Employees should ask themselves if public knowledge of the gift would cause personal embarrassment or embarrassment to the Board.

If there is uncertainty regarding what is considered an appropriate gift to give or receive, the employee shall discuss the matter with their immediate supervisor.

v. Honoraria

Senior Management, school administrators, managers and supervisors are not allowed to receive honoraria, gift certificates or payments of any kind, while performing instructional or informational duties while on Board time. The exception to this would be if significant preparation time was required and this preparation time was conducted on personal time.

Honoraria of a significant nature (in excess of \$200.00 in value) must be reported as in vii) below.

vi. Reporting of Gifts, Hospitality and Favours

All employees must report/notify their immediate supervisor by email of any gifts, honoraria, hospitality and/or favours received that have a value of over \$200.00 (exclusive of HST).

Superintendents must notify their Associate Directors or whomever they report to; the Associate Director must notify the Director; and the Director of Education must notify the Chair of the Board. All other employees must notify their immediate Supervisor.

Employees must complete the form, Code of Ethics – Reporting of Gifts, attached as Appendix B, and forward the completed form to the office of the Executive Officer of Human Resources, for retention purposes.



Appendix 'A'

CONFLICT OF INTEREST - PURCHASING PROCESS

Declaration by Halton Board Staff / Appointed Officer

Name (printed) _____

Vendor Providing Services to the Board _____

Name of Vendor Contact (printed) _____

Relationship Between Parties _____

Board staff and Officers of the Board shall declare any pecuniary interest, either direct or indirect, in writing to their Manager/Principal with a copy to the Manager of Purchasing indicating the specific nature of the conflict. Any conflict of interest that cannot be isolated and resolved will be reported to the appropriate Superintendent.

No staff or appointed officer of the Halton District School Board shall place him/herself, or permit him/herself to be placed, in a position that would constitute a conflict of interest or breach of trust.

Staff or appointed officer shall read the following statements regarding conflict of interest and sign that he/she agrees that,

- I will not participate in any decision-making process to engage the services of this vendor; and
- I do not have authority or delegated authority to authorize work to be performed by this vendor; and
- I do not have authority or delegated authority to approve invoices for payment to this vendor; and
- all information received, reviewed and discussed from and about this vendor is strictly confidential and may not be discussed with anyone who is not staff or an appointed officer of the Board; and
- all financial information about the Board, budgets, project budgets and expenditures are confidential and shall not be shared in any way with anyone who is not staff or an appointed officer of the Board.

Please sign below acknowledging that you have received and read this information. Return a copy of the form to the Manager of Purchasing.

Signature of Employee _____ Date _____

Signature of Manager _____ Date _____



Appendix 'B'

CONFLICT OF INTEREST – REPORTING OF GIFTS

Employee Name: _____

Date Gift Received: _____

Gift Received From (Giftor): _____

Gift: (provide details) _____

Approximate Value of the Gift: _____

Reason for the Gift: _____

Disposition of the Gift: _____

What relationship does the Giftor have with the Halton District School Board?

Date: _____

Employee Title: _____

Employee Signature: _____